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REUTLINGER

2500
Brown & Williamson
Tower
Louisville, Kentucky
40202
502.584.1135
502.561.0442 fax
www.middreut.com



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
Washington, D.C., United States of America

In re Application of: Glenn Eric Bailey, et al.

Serial No.: 09/851,367

Filed: 05/08/2001

For: FULLY RECESSED UNIT
EQUIPMENT LUMINAIRE

Examiner:
Group Art Unit: 2875

Atty. Docket: ZL327-01008

INFORMATION DISCLOSURE STATEMENT UNDER 1.97(B)

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Dear Sir/Madam:

In accordance with 37 CFR §1.56, the references listed below are being brought to the attention of the examiner for consideration in connection with the examination of the above identified patent application. A copy of the cited references is enclosed.

U.S. REFERENCES CITED:

DATE	PATENT NO.	INVENTOR
01-02-2001	6,168,294	Erni, Ernst R., et al.
10-10-2000	Re. 36,908	Ling, Geoffrey
07-04-2000	6,082,031	Heaton, Thomas K., et al.
04-18-2000	6,050,708	Roorda, John W.
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July 25, 2001

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Application No. 09/851,367
Attorney Docket No. ZL327-01008

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01-11-1972	3,634,674	Tuttle, Fordyce E., deceased
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03-22-1938	2,112,147	Downer, George Victor
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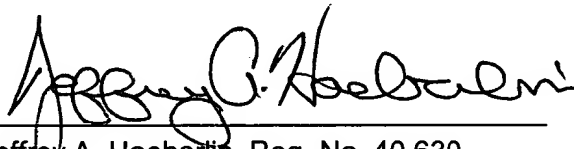
FOREIGN REFERENCES CITED: N/A

DATE	PUBLICATION NO.	APPLICANT
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CERTIFICATION UNDER 37 CFR §1.97(B)

This information is being submitted within three months after the filing date of the application and before the mailing date of the first office action. As such, no fee is due for its submission.

Respectfully submitted,



Jeffrey A. Haeberlin, Reg. No. 40,630

MIDDLETON REUTLINGER

2500 Brown & Williamson Tower

Louisville, Kentucky 40202

(502) 584-1135

Date: 8-28-2001

Encl: Form PTO/SB/21
PTO/SB/08A (including a copy of the references)
Return postcard for evidencing receipt of above items

**PATENTABILITY SEARCH
RESULTS**

**CHLORIDE SYSTEMS DIVISION
"Fully Recessed Unit Equipment
ZL327/01008
Vol. 1**

PATENTABILITY SEARCH RESULTS

CHLORIDE SYSTEMS DIVISION

“Fully Recessed Unit Equipment”

ZL327/01008

INDEX

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8. 6,019,477	Wegrzyn et al.	February 1, 2000
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10. 5,816,694	Ideker et al.	October 6, 1998
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14. 5,426,575	Richards	June 20, 1995
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20.	5,140,301	Watanabe	August 18, 1992
21.	4,954,935	Hammond et al.	September 4, 1990
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24.	4,796,169	Shemitz	January 3, 1989
25.	4,768,140	Szpur	August 30, 1988
26.	4,760,507	Lasker et al.	July 26, 1988
27.	4,701,832	Lasker	October 20, 1987
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